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Dkt. 0575/62239-BZ/JPW/AHC

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jingyue Jue et al.

Serial No. : 10/702,203 Examiner: Riley, Jezia

Filed: November 4, 2003 Art Unit: 1637

For : MASSIVE PARALLEL METHOD FOR DECODING DNA AND

RNA

Notice of

Allowance issued: September 6, 2007

Confirmation No.: 7101

1185 Avenue of the Americas New York, New York 10036

December 5, 2007

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is submitted after the mailing of a Notice of Allowance in connection with the above-identified application. The issue fee is due December 6, 2007 and has not yet been paid. Accordingly, this Communication is being timely filed.

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the subject application. Recently applicants' undersigned attorney has become aware of an error in the official Updated Filing Receipt issued for the subject application, a copy of which is attached hereto as **Exhibit A**.

Specifically, the filing date for the subject application is listed incorrectly.

A corrected Filing Receipt should read as follows:

Applicants : Jingyue Ju et al.

Serial No. : 10/702,203

Filed November 4, 2003

Page 2 of 2 of December 5, 2007 Communication

#### --FILING OR 371(c) DATE

11/04/2003--

Applicants note that the correct filing date may be found on the Postcard Receipt to which the United States Patent and Trademark Office affixed the Date Stamp Sticker showing the correct filing date of November 4, 2003. A copy of the Postcard Receipt is attached hereto as Exhibit B. Accordingly, applicants request that a corrected Filing Receipt be issued.

If a telephone interview would be of assistance in advancing consideration of this Communication, applicants' undersigned attorney invites the Patent Office to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Issue Fee Commissioner for Patents

P.O. Box 1450

John P. White No. 28,678

Date

John P White

Registration No. 28,678 Attorney for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

# **EXHIBIT A**

66637-32



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandra, Vignas 22313-1450 www.uspin.gov

APPL NO.

FILING OR 371 (c) DATE

ART UNIT

FIL FEE REC'D

ATTY.DOCKET NO

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10/702,203

11/06/2003

1637

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0575/62239-BZ/JPW/AJM/BJA

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John P. White Cooper & Dunham LLP 1185 Avenue of the Americas New York., NY 10036



CONFIRMATION NO. 7101 **UPDATED FILING RECEIPT** 

OC000000012964735\*

Date Mailed: 06/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jingyue Ju, Englewood Cliffs, NJ; Zengmin Li, New York, NY; John Robert Edwards, New York, NY; Yasuhiro Itagaki, New York, NY:

# **Assignment For Published Patent Application**

The Trustees of Columbia University in the City of New York.;

# Domestic Priority data as claimed by applicant

This application is a DIV of 09/972,364 10/05/2001 PAT 6,664,079 which claims benefit of 60/300,894 06/26/2001 > and is a CIP of 09/684,670 10/06/2000 ABN -

### Foreign Applications

If Required, Foreign Filing License Granted: 03/12/2004

Projected Publication Date: 09/23/2004

Non-Publication Request: No

Early Publication Request: No

Applicants: Jingyue Ju et al. U.S. Serial No. 10/702,203 Filed: November 4, 2003

\*\* SMALL ENTITY \*\*

**Title** 

Massive parallel method for decoding DNA and RNA

**Preliminary Class** 

435

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED. FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

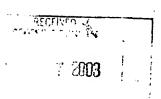
#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# EXHIBIT B

Applicant	Jingyue Ju, et al.			
Client	Columbia - 0575	File No. 62239-BZ	Any	JPW/AJMBJA
Date	November 4, 2003	1110110	/y	

Kindly acknowledge receipt of the accompanying Rule 1.53(b) Continuation Application for MASSIVE PARALLEL METHOD FOR DECODING DNA AND RNA, Jingyue Ju et al., filed herewith, including transmittal letter (in triplicate), specification (72pp), claims (19pp), abstract (1p), a copy of a Declaration, a check in the amount of \$385.00, a Preliminary Amendment with Exhibit A and Exhibits 1-2, Figures (28 sheets), and an Express Mail Certificate of Mailing bearing Label No. EV 305643186 US dated November 4, by placing your receiving date stamp hereon and returning to us.



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**COOPER & DUNHAM LLP** 

# 1185 AVENUE OF THE AMERICAS

**NEW YORK, N.Y. 10036** 

03945 U.S. PTO 10/702203



Lindler Harris Halland Killadi (1911)

Applicants: Jingyue Ju et al. U.S. Serial No. 10/702,203 Filed: November 4, 2003